

Information for the processing of data

in accordance with article 13 and 14 GDPR

– Data processing of (potential) Investee Partners –

| | |
|--|---|
| A. Name of the responsible person | bm-t beteiligungsmanagement thüringen gmbh (bm t) |
| B. Contact details of the responsible person (or a representative) | Maximilian-Welsch-Straße 6a, 99084 Erfurt +49 361 7447 601 info@bm-t.com |
| C. Contact details of the data protection officer | Dr. Jörn Voßbein (UIMC) Otto-Hausmann-Ring 113, 42115 Wuppertal +49 202 / 946 7726 200 datenschutz.bm-t@uimc.de |
| D. Source and categories of personal data | <p>We are processing personal data that we receive from you in the context of the initial business contact and/or business relationship with your company. In addition, we are processing - insofar as necessary for the purpose - personal data that we receive from other third parties (such as Creditreform). Moreover, we are processing personal data that we have legitimately gained and are able to process from publicly available sources (such as commercial registers, press, media).</p> <p>Relevant personal data are, among others, core information (e.g. name, address and other contact details, if necessary date and place of birth and if necessary marital status/matrimonial property scheme), legitimacy data (e.g. identity card data), authentication data (e.g. specimen signature), creditworthiness data (e.g. information on insolvency proceedings), shareholding relationships, PEP-status (politically exposed person), data in connection with the participation analysis (e.g. qualifications, professional career, patent ownership, data from employment contracts), data in connection with investment controlling (e.g. management reports,</p> |

| | |
|---|---|
| | <p>data of cross reference), correspondence (e.g. business correspondence, interview minutes).</p> <p>The data are required for the purposes outlined below. Without these data we can not fulfill the purposes mentioned below.</p> |
| E. Purpose of data processing | Analysis, consumption and management of investments (investment process) |
| F. Legal basis for data processing | <p>We are processing your data to implement pre-contractual and contractual measures in accordance with art. 6 (1) lit. b GDPR. In addition, we are liable to various legal obligations in accordance with art. 6 (1) let. c (e.g. Money Laundering Act, Tax Code, European Commission Recommendation on the definition of micro, small and medium-sized enterprises, General Block Exemption Regulation (GBER), Guidelines on State aid to promote risk finance investments, Commercial and tax law).</p> |
| G. Balance of interests: legitimate interests of the person responsible | <p>If necessary, we are processing your data beyond the basic fulfillment of the purpose for the protection of legitimate interests of us or third parties.</p> <p>Examples:</p> <ul style="list-style-type: none"> - Asserting legal claims and defense in legal disputes - Prevention and investigation of criminal offenses - Internal statistics - Advertising or market and opinion research |
| H. Recipients/categories of recipients | <p>In addition to the employees of bmit the following parties can receive your data:</p> <ul style="list-style-type: none"> - External service provider assigned by us (art. 28 GDPR), especially in the field of IT services, - Public bodies and institutions (e.g. Thuringian Development Bank, Thuringian Ministry of Economics, Science and Digital Society, Thuringian Audit Court, European Commission), as well as - the associations or institutions and their bodies involved in the fund constructions (e.g. Stiftung Thüringer Beteiligungskapital). |

| | |
|---|---|
| | It should also be noted that data and documents for which there is a public interest are offered to the responsible state archives according to the Thuringian Archive Act – ThürArchivG. |
| I. Possible transfer outside of the EU/EEA | There is basically no transfer outside the EU/EEA. We will only transfer your data to countries outside the European Economic Area – the EEA, if this is necessary for the purpose or required by law or if you have given us your consent. |
| J. Retention period and deletion | Your data is kept as long as it is needed for the purpose. Basically, these are 10 years after the end of the contract or after a final rejection of the investment request. In certain cases, the data will be stored for at least 5 years after the end of the relevant fund duration. In addition, the legal retention periods – e.g. of civil, commercial and tax law – will be considered. |
| K. Necessity of provision of the data (if collected from the person concerned). | <input checked="" type="checkbox"/> by consent <input checked="" type="checkbox"/> required by law <input type="checkbox"/> required by contract <input checked="" type="checkbox"/> required for a contract <input type="checkbox"/> Obligation to provide the data If not provided, these consequences are possible: |
| L. Automated decision-making | <input checked="" type="checkbox"/> no <input type="checkbox"/> yes |

Hereby we inform you, you have according to art. 15 et seqq. GDPR, in respect of the defined conditions, the following rights of concerned people: **Right of information** in regard to the affected personal data as well as the **right to rectification and erasure**. Furthermore, you have the **right to restrict the processing** of personal data and the **right to data portability**. Additionally, you have the right, according to art. 77 GDPR, to **lodge a complaint** with a supervisory authority. In case the processing is based on article 6 para. 1 let. a GDPR or art. 9 para 2 let. a GDPR (consent) you have the **right to revoke** your given consent at anytime, this does not affect the lawfulness of the processing until the withdrawal of consent.